IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Group Art Unit:

1796

Ulf Dietrich

Examiner:

Doris Lee

Serial No.:

10/551,108

Filed: March 4, 2004

For:

DISPERSING AGENTS

Attorney Docket No.: WAS 0737 PUSA

INFORMATION DISCLOSURE STATEMENT AND CERTIFICATION UNDER 37 C.F.R. § 1.97(d) AND (e)

Mail Stop AF Commissioner for Patents U.S. Patent & Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and §§ 1.97-1.98, the references listed and identified on the attached Forms PTO/SB08A and SB08B are being submitted herewith for consideration by the Examiner. This Statement is being filed in accordance with 37 C.F.R. § 1.97(d), i.e., either after the mailing date of a final rejection, or a notice of allowance, but before payment of the issue fee.

While this Statement is being filed in compliance with the duty of disclosure, citation of the listed references is not to be construed as an admission that any of the references are "material" as defined under 37 C.F.R. § 1.56(b).

Accompanying the Information Disclosure Statement is the statement of Dr. Michael Schuderer describing the content of the references cited in the Information Disclosure Statement.

Each item of information contained in this Statement (i) was not cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of this Statement, or (ii) was first cited in any communication from a foreign patent office in a counterpart foreign application (copy of communication enclosed) not more

than three months prior to the filing of this Statement.

The fee of \$180.00 pursuant to the provisions of 37 C.F.R. § 1.17(p) is being charged to Deposit Account No. 02-3978 via electronic authorization submitted concurrently herewith. The Commissioner is hereby authorized to charge any additional fees or credit any overpayments as a result of the filing of this paper to Deposit Account No. 02-3978.

No copies of the listed U.S. patent references or the listed U.S. patent application publication references have been included herewith pursuant to 37 C.F.R. § 1.98(a)(2). All other references have been provided as required. Consideration and entry into the record of the listed references is respectfully requested.

Respectfully submitted,

Ulf Dietrich

William G. Conger Reg. No. 31,209

Attorney/Agent for Applicant

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: ULF DIETRICH ET AL. Serial Number: 10/551108

Filed: March 04, 2004

For: DISPERSING AGENTS

Information Disclosure Statement

Group Art Unit: 1796

Honorable Commissioner of Patents and Trademarks Washington, D.C. 20231

Sir or Madam:

I, Michael Schuderer, associated with the preparation and prosecution of the above-identified application, residing at Thurneyssenstr. 22, 80687 München, Germany, wish to call the attention of the Patent Examiner to the references enumerated on the enclosed PTO Form-1449.

I believe the documents enumerated on the enclosed Form PTO-1449 and attached thereto, are cited in a corresponding Japanese Office Action.

Therefore, it is respectfully requested that the foregoing Information Disclosure Statement be considered by the Examiner and incorporated into the file of this application.

I wish to comment as follows concerning the prior art references enumerated on PTO Form-1449:

For JP 10017346 A the corresponding US 5919881 A is enclosed, which is already in English language.

Signed June 21, 2010.

Dr. Michael Schuderer